

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

J1131 U.S. PTO



12/19/01

Hon. Commissioner of Patents
Washington, DC 20231

RULE 53(f) NO DECLARATION

Atty. Dkt.

P 290493

T2TT-01S0439-1

M#

Client Ref

Date:

December 19, 2001

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title:

METHOD AND APPARATUS FOR AVOIDING COLLISION OF HEAD IN DISK DRIVE

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).4. 25 Pages of Specification (only spec. and claims);5. ☐ Specification in non-English language6. 20 Numbered claim(s); and7. ☒ Drawings: 5 sheet(s) ☐ 1 set informal;8. ☒ formal of size: ☒ A4 ☐ 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Japan

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------------|---|-------------|
| (1) 2000-389067 | December 21, 2000 | (2) | |
| (3) | | (4) | |
| (5) | | <input type="checkbox"/> See 3 rd page for additional priorities | |

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. 1 filed on _____12. ☐ This is a reissue of Patent No. _____13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 1 filed _____No. 1 filed _____No. 1 filed _____No. PCT/ 1 filed _____, whichdesignated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Signee (optional): _____

19. ☒ Attached: PTO 1449 form, (1) reference cited therein

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

| | | | |
|--------------------|--|-----------------------|------------------------|
| (1) Inventor | Masaru | | ATSUMI |
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21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP
Intellectual Property Group



00909

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments